



Attorney's Docket No.: 17315-002002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Frederick G. St. Goar
Serial No. : 10/820,581
Filed : April 7, 2004
Title : METHODS AND APPARATUS FOR CARDIAC VALUE REPAIR

Art Unit : 3734
Examiner : Lindsey Michele Bachman
Conf. No. : 8576

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

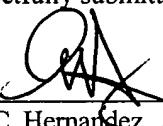
Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement and Forms PTO-1449 (1 page), cited references, a check in the amount of \$180.00 and a return postcard for filing in connection with the above-identified application.



The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050.

Respectfully submitted,


Fred C. Hernandez
Reg. No. 41,832

Date: March 15, 2007

Attorney Docket No. 17315-002002

Address all correspondence to:

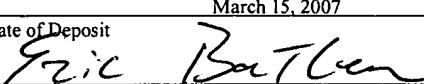
Fred C. Hernandez
Fish & Richardson P.C.
12390 El Camino Real
San Diego, California 92130
Telephone: (858) 678-5070
Facsimile: (202) 626-7796
email: fhernandez@fr.com

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

March 15, 2007

Date of Deposit


Eric Butler

Typed or Printed Name of Person Signing Certificate



Attorney's Docket No.: 17315-002002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Frederick G. St. Goar

Serial No. : 10/820,581

Filed : April 7, 2004

Title : METHODS AND APPARATUS FOR CARDIAC VALUE REPAIR

Art Unit : 3734

Examiner : Lindsey Michele Bachman

Conf. No. : 8576

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN
ACCORDANCE WITH 37 C.F.R. §§1.97-1.98**

Dear Sir:

Because this Supplemental Information Disclosure Statement is after receipt of a first Office Action on the Merits of the above-captioned application, the filing fee of \$180 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and non-U.S. patent documents are provided herewith in connection with the above-captioned application.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

03/19/2007 EEKUBAY1 00000060 10820581

01 FC:1806

180.00 US

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

March 15, 2007

Date of Deposit

Signature

Eric Butler

Typed or Printed Name of Person Signing Certificate

Applicant : Frederick G. St. Goar
Serial No. : 10/820,581
Filed : April 7, 2004
Page : 2 of 3

Attorney's Docket No.: 17315-002002
Suppl. Information Discl Statement

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Applicant also makes known to the Examiner the following pending U.S. and International Applications that have one or more common inventors and/or are commonly owned:

<u>U.S.S.N.</u>	<u>Filing Date</u>	<u>Publication No.</u>	<u>Docket No.</u>
10/635,776	08/05/03	2004/0030382	17315-002001
10/927,263	08/25/04	n/a	20489-000160US
10/927,589	08/25/04	n/a	20489-000150US
11/349,742	02/07/06	2006/0229708	17315-004001
11/411,441	04/25/06	2007/0038293	17315-005001

<u>Intl. Ser. No.</u>	<u>Filing Date</u>	<u>Publication No.</u>	<u>Docket No.</u>
PCT/US06/004368	02/07/06	WO 06/086434	17315-004WO1
PCT/US06/015941	04/25/06	WO 06/116558	17315-005WO1

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references or information, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97 (g and h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56 (b) exists.

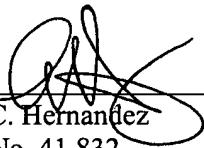
Applicant : Frederick G. St. Goar
Serial No. : 10/820,581
Filed : April 7, 2004
Page : 3 of 3

Attorney's Docket No.: 17315-002002
Suppl. Information Discl Statement

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Date: March 15, 2007


Fred C. Hernandez
Reg. No. 41,832

Attorney Docket No. 17315-002002

Address all correspondence to:

Fred C. Hernandez
Fish & Richardson P.C.
12390 El Camino Real
San Diego, California 92130
Telephone: (858) 678-5070
Facsimile: (202) 626-7796
email: fherandez@fr.com

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17315-002002	Application No. 10/820,581		
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))		Applicant Frederick G. St. Goar					
		Filing Date April 7, 2004	Group Art Unit 3734				
U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5593424	01/14/97	Northrup III			
	AB	2005-0149014	7/7/05	Hauck et al.			
	AC	2006-0020275	1/26/06	Goldfarb et al.			
	AD	2007-0038293	2/15/07	St. Goar et al.			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
	AE	2006-086434	8/17/06	PCT			Yes
	AF	2006-116558	11/2/06	PCT			No

Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner Initial	Desig. ID	Document				
		none				

Examiner Signature	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	